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Mechanisms of sex hormones in autoimmunity: focus on EAE

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21 **Abstract**

22 Sex-related differences in the occurrence of autoimmune diseases is well documented, with
23 females showing a greater propensity to develop these diseases than their male counterparts. Sex
24 hormones namely, dihydrotestosterone and estrogens have been shown to ameliorate the severity
25 of inflammatory diseases. Immunologically, the beneficial effects of sex hormones have been
26 ascribed to the suppression of effector lymphocyte responses accompanied by immune deviation
27 from pro-inflammatory to anti-inflammatory cytokine production. In this review, we present our
28 view of the mechanisms of sex hormones that contribute to their ability to suppress autoimmune
29 responses with an emphasis on the pathogenesis of experimental autoimmune encephalomyelitis.

30 **Keywords**

31 Sex hormones; Autoimmunity; EAE; MS; T cells

32 **1. Introduction**

33 The normal function of the immune system is to protect organisms against invading
34 pathogens. When such a response is directed against self-tissues, autoimmunity may ensue.
35 However, healthy individuals can have signatures of autoimmune response as evidenced by the
36 detection of low levels of antibodies and T cells against autoantigens that may reflect formation of
37 natural antibodies or idiotypic networks (1-4). Autoimmune diseases (AIDs) are clinically
38 manifested when autoimmunity leads to tissue damage disrupting the functions of affected organs
39 (5, 6).

40 AIDs are generally noted to be the leading causes of deaths in young to middle-aged
41 women in the United States (7). Estimates indicate a large variation in both the incidence (less than
42 1 per 100,000 persons to more than 20 per 100,000) and prevalence (less than 5 per 100,000 to
43 more than 500 per 100,000) of these diseases (8). Approximately 50 million Americans may have
44 some form of an autoimmune disease and of these more than 75 percent are women (7). The
45 chronic nature of many of these diseases such as multiple sclerosis (MS) can significantly impact
46 medical costs and quality of life (8).

47 MS is a chronic inflammatory and demyelinating disease of the central nervous system
48 (CNS), and it affects approximately 2.5 million people worldwide showing a female
49 preponderance (2 to 3:1). Within the United States alone, MS affects approximately 400,000
50 people with 10,000 new cases diagnosed annually (9-11) resulting in the loss of ~2.5 billion to the
51 economy (12, 13). While, the disease can be seen in people of any age, it is commonly diagnosed
52 in the age group of third to fifth decades. Although, no known causes are identified, it is commonly
53 believed that a combination of genetic susceptibility and environmental factors trigger the disease-
54 onset (9, 11). Traditionally, four types of MS have been identified. These include, relapsing-

55 remitting MS (RRMS), secondary progressive MS, primary progressive MS, and progressive-
56 relapsing MS (PRMS) (14), with RRMS being the most common (~85%) and PRMS, the rarest of
57 all (~5%) (11). A recent classification emphasizes combination of active or inactive, and/or stable
58 or progressive nature of the disease course (15). The pathological diversity of lesions in the white
59 and grey matter with differential mechanistic signatures provides an additional layer to the variable
60 clinical phenotypes (16, 17). Given this complex nature, it is a challenge to study the pathogenetic
61 events in humans, and therefore, various animal models of experimental autoimmune
62 encephalomyelitis (EAE) are routinely used in MS research.

63 EAE can be induced in a wide-range of species (rodents: rabbits, rats and mice; and non-
64 rodents: monkeys and pigs) (14, 18-22). The two hallmarks of EAE are, inflammation and
65 demyelination, and the disease is typically mediated by autoreactive T cells (23, 24). While EAE-
66 induction by active immunization involves the use of myelin antigens or their immunogenic
67 peptides in complete Freund's adjuvant (CFA), the disease can be transferred to naïve animals by
68 adoptively transferring myelin-reactive T cells. Three main myelin antigens have been identified
69 to induce EAE namely, myelin basic protein (MBP), proteolipid protein (PLP), and myelin
70 oligodendrocyte glycoprotein (MOG) and their disease-inducing peptides are also identified.
71 These include MBP 1-11 that induces EAE in B10.PL or PL/J mice (H-2^u); PLP 139-151-induced
72 EAE in SJL mice (H-2^s) and MOG 35-55-induced EAE in C57BL/6 mice (H-2^b) (14, 25). Of these
73 models, sex differences have been well noted with the PLP 139-151-induced EAE in SJL mice. In
74 this model, while females show chronic relapsing-remitting paralysis, the disease-course is
75 restricted to the monophasic form in male mice (26). These phenotypes resemble some of the
76 clinical features of MS making the SJL model of EAE to be helpful for studying sex differences

77 in the CNS autoimmunity (26). Here we review the salient features of sexual dimorphism of AIDs
78 with an emphasis on the role of T cells in the pathogenesis of EAE.

79 **2. Sexual dimorphism in the occurrence of infectious diseases vs. AIDs**

80 It has been known for a long time that susceptibility to various diseases differs by sex.
81 While males are more susceptible than females to viral, bacterial and parasitic infections, the
82 tendency to develop autoimmune diseases is higher in females than males (27) (**Fig 1**).

83 **2.1. Infectious diseases.** Females are generally more resistant than males to viral infections
84 due to the higher antibody production (28), especially during the period between puberty and
85 menopause (27), but the conflicting reports may question this notion. While males appear to
86 contract certain viral infections a higher rate – such as human immunodeficiency virus, west Nile
87 virus, hepatitis B virus, influenza virus and Hantavirus (28, 29) – females with the same viral load
88 as males can be at a higher risk of developing acquired immune deficiency syndrome (30).
89 Similarly, during the 2009 H1N1 avian influenza pandemic in Canada, women were found to be
90 at two- to six-fold higher risk of dying than men (31). Conversely, emerging evidence suggests
91 that mortalities are more common in males than female individuals affected with coronavirus
92 disease-19 that can be ascribed to other confounding factors such as smoking and behavioral
93 changes (32-34). Generally, women are known to mount higher anti-viral immune responses than
94 men which may be beneficial to clear the virus but prolongation of such a response can lead to
95 increased disease-severity (31, 35). For bacterial infections however, males were found more
96 susceptible than females to *Mycobacterium tuberculosis* (M.tb), *Helicobacter pylori*, *Coxiella*
97 *burnetii*, *Pseudomonas aeruginosa* and *Salmonella typhimurium* infections (36-40). Additionally,
98 the proportion of adult males found to have symptomatic M.tb infections was two-fold higher than
99 in females (36). Conversely, women are more likely than men to survive from sepsis (41). Females

100 have a lower incidence of malaria than males (42) and experimentally, female mice also were
101 found to be more resistant than males to *Plasmodium chabaudi* infection (43). These data suggest
102 that sex differences may vary from disease to disease of infectious origin.

103 **2.2. AIDs.** It is well conceived that most autoimmune diseases are more prevalent in females
104 than males (44, 45). This phenomenon has been well documented especially with AIDs mediated
105 by autoantibodies such as Sjögrens syndrome (female to male ratio of 16:1), systemic lupus
106 erythematosus (SLE) (7:1), Hashimoto's thyroiditis (19:1) and Grave's disease (7:1), in which,
107 about 80% of the patient population was women (46). In the middle tier of diseases, which includes
108 rheumatoid arthritis (RA) (3:1) and MS (2:1), the sex distribution has been 60-75% in women
109 relative to men (46). In fact, a study involving Danish cohorts revealed the risk for developing MS
110 was increased more than two-fold in females, whereas in males, the disease remained unchanged
111 over a period of 25 years (47). Likewise, neuromyelitis optica spectrum disorder (NMOSD) is also
112 characterized by a high female predominance and the disease-outcomes can also be influenced by
113 the sex (48). Interestingly, this difference is much higher in NMOSD associated with AQP4-
114 antibodies, and less in seronegative NMOSD without pathogenic autoantibodies (49, 50).
115 However, for other diseases such as inflammatory bowel disease and type 1 diabetes (T1D), the
116 prevalence rates are similar for both sexes (51). Conversely, Guillain-Barre syndrome appears to
117 be occurring at equal or higher rates in males than females (51), whereas, myasthenia gravis shows
118 a female predominance in the early-onset as opposed to a male predominance in the late onset of
119 the disease (52). Likewise, myocarditis is more frequently reported in young men than their female
120 counterparts (53). Of note, male patients with later onset MS have a higher risk for faster disability
121 progression suggesting that sex-differences may also be seen in the disease course (54).

122 Furthermore, occurrence of AIDs appears to be influenced by the reproductive cycles in
123 affected individuals. For example, pre-pubertal cases of MS are extremely rare, with only 3-5%
124 cases reported in individuals younger than 18 years of age. The finding that sexual dimorphism is
125 seen mostly in post-pubertal women suggests that puberty is a critical risk factor (55). For example,
126 the female-to-male ratio for SLE is found to be 2-6:1 prior to puberty (9-14 years for boys and 8-
127 13 years for girls), as opposed to 9:1 after puberty (≥ 15 years for boys and ≥ 14 years for girls)
128 (56). Additionally, disease severity can be influenced by pregnancy, as shown with MS, where the
129 clinical signs of the disease are suppressed during pregnancy, especially during the third trimester.
130 However, the risk of MS relapse is increased in the first 3 months of post-partum and returns to
131 the pre-pregnancy level by 6 months after delivery (57, 58). In the case of RA however, symptoms
132 can be low or completely suppressed during gestation, whereas women with SLE often have
133 exacerbated symptoms during pregnancy (56). While, these observations point to a possibility that
134 the sex hormones may determine the clinical outcomes of AIDs, primary triggers of these diseases
135 remain largely unknown.

136 **2.2.1. Factors that influence the development of AIDs.** Two major factors have been
137 implicated in the induction of AIDs. These include, genetic susceptibility and exposure to
138 environmental factors and the readers may find excellent reviews on these topics elsewhere (59,
139 60). Furthermore, transcriptome profiles of sex chromosomes, specifically X, and epigenetic
140 variations also appear to influence the occurrence of autoimmunity (**Fig 1**). One such transcript is
141 KDM6a where the animals deficient for this gene were found resistant for the development of EAE
142 (61). Other potential candidates include Forkhead box P3 (FoxP3) and Toll like receptor (TLR) 7
143 (62). Likewise, epigenetic modifications (DNA methylation, histone modifications, chromatin
144 remodeling and non-coding RNAs) at MHC loci may influence sex differences in MS (51, 63)

145 **(Fig 1)**. Additionally, polymorphisms in the Interferon (IFN)- γ and Interleukin (IL)-12 receptor β
146 genes were noted with sex differences in susceptibility to MS (64, 65). Deficiency of the Fas/CD95
147 death receptor was associated with decreased apoptosis of inflammatory cells in the CNS with
148 enhanced EAE severity. Such an association was also seen in women with MS (66), suggesting
149 that the cellular responses might be different between sexes.

150 Additionally, it has been recently shown that the sex differences in autoimmunity can be
151 influenced by the gut microbiota **(Fig 1)**. For example, specific pathogen-free non-obese diabetic
152 (NOD) mice show a female preponderance to develop T1D, but the germ-free mice lose such a
153 bias (67). Furthermore, gut flora differ between sexes, a trend reversed by male castration
154 suggesting that androgens can influence the gut microbiota (67). Likewise, colonization by
155 commensal microbes led to elevated serum testosterone levels and protection of male NOD mice
156 from developing T1D (68). Importantly, transfer of gut microbes from adult males to immature
157 females altered the microbiota in females leading to reduced islet inflammation and autoantibody
158 production and protection from T1D occurring in conjunction with increased testosterone levels
159 (68). These data suggest that the gut microbiota can be an important determinant of the outcomes
160 of sexual dimorphic nature of autoimmune diseases in those affected. In support of this proposition,
161 microbiota composition revealed diverse microbial populations in association with chronic-
162 progressive and chronic relapsing-remitting type of paralysis as evaluated in two mouse strains
163 namely, C57Bl/6 and SJL mice (69). However, existence of sex-specific altered microbiota, if any
164 that can potentially contribute to the sex bias in EAE phenotypes needs further investigations.
165 Taken together, the data indicate that the immune microenvironments in males and females might
166 be uniquely influenced by sex hormones.

167

168 **3. Immune mechanisms of sex hormones**

169 **3.1 Expression of sex steroid receptors in immune cells.** Physiologically, estrogens are
170 responsible for female sexual characteristics, similar to androgens in males (70). Estrogens include
171 estrogen (E1), estradiol (E2) and estriol (E3), of which, E3 is produced only during pregnancy
172 (71). Their effects are mediated through estrogen receptor alpha (ER α) and estrogen receptor beta
173 (ER β) through the formation of homodimers or heterodimers. ER α has been detected in dendritic
174 cells (DCs), monocytes, macrophages, natural killer (NK) cells, mast cells, B cells and T cells (72-
175 77). Even though CD4 T cells express more ER α than ER β , CD8 T cells and monocytes express
176 low amounts of both ERs. On the contrary, B cells express higher amounts of ER β than ER α (78).

177 Androgens mediate their effects predominantly by binding to androgen receptors (AR)
178 located intracellularly (79), but they also can be expressed in a non-classic form on the cell surface
179 (80). Several immune cells like neutrophils, macrophages, B cells and T cells have been shown to
180 express AR (79, 81). In thymic T cells, only classic AR has been detected, whereas both forms
181 have been noted in the splenic T cells (82). Likewise, while both macrophages and B cells can
182 express classic AR, non-classic AR is expressed only in macrophages (83). Since, most terminally
183 differentiated immune cells express sex hormone receptors, their functionalities can be potentially
184 modulated by sex hormones.

185 **3.2. Effect of sex hormones on innate immune cells.** Several reports indicate significant
186 differences in the innate immune responses between sexes (**Fig 1**). For example, healthy female
187 macaques have increased counts of most leukocyte subpopulations in their peripheral blood than
188 their male counterparts (84). Similarly, healthy female mice have higher numbers of leukocytes in
189 the pleural and peritoneal cavities than do male mice (85). Circulating NK T cells can also be more
190 numerous in healthy women than men (86). Male healthy mice, however, appear to have more

191 neutrophils than do females (87). Such variations also have been noted in the ability to respond to
192 microbial products. For example, in the airway inflammation model of asthma, greater numbers of
193 macrophages and DCs were found to migrate from lungs to the draining lymph nodes in females
194 as compared to males (88). Human monocytes from males after lipopolysaccharide (LPS)
195 stimulation can produce more of IL-1 β , tumor necrosis factor (TNF)- α and IL-12 than those from
196 females (89). Similarly, compared to female neutrophils, male neutrophils release greater amounts
197 of TNF- α in response to LPS stimulation. This hyper-responsiveness of male neutrophils to LPS
198 has been suggested as a potential mechanism in making males more susceptible than females to
199 sepsis (90). Furthermore, higher levels of TLR 7 detected in females compared to males can have
200 implications in their ability to respond to virus infections, because TLR-7 is involved in the
201 recognition of single-stranded viral RNA molecules (91).

202 **3.2.1. Effects of sex hormones on antigen-presenting cells.** Most antigen-presenting cells
203 express both ER α and ER β (74, 92). Estrogens can regulate the functions of
204 monocytes/macrophages and DCs in various ways (**Fig 1**). For example, E2 inhibits expression of
205 IL-1, IL-6 and TNF- α in activated macrophages (93). DCs pretreated with E2 can suppress antigen-
206 presenting functions by enhancing their ability to produce the anti-inflammatory cytokines IL-4
207 and IL-10 (94). However, it also has been reported that E2, acting via ER α , can promote
208 differentiation of DCs (92); the E2-treated DCs have superior antigen-presenting function with
209 increased major histocompatibility complex (MHC) class II expression (95). Similar effects also
210 were noted with testosterone-treated macrophages (96). Although male mice appear to have lower
211 numbers of Langerhans's cells (LC) than female mice, androgens can influence DC development
212 (97). Topical application of testosterone or its metabolite dihydrotestosterone (DHT) can result in
213 a significant decrease in the density of LCs in both normal females and orchietomized males (98).

214 However, DHT appears not to promote granulocyte macrophage colony-stimulating factor-driven
215 DC differentiation (92). Furthermore, estrogen or progesterone can activate macrophages and
216 promote wound healing through angiogenesis and tissue remodeling (99). Androgens also can
217 modulate inflammatory responses during acute wound healing, as evidenced by the observation
218 that castration or blockage of androgens can result in suppressed recruitment of macrophages (100,
219 101), as well as the experimental observation that AR-deficient mice show accelerated wound
220 healing (79) (**Fig 1**). These observations suggest that the innate immune functions can be
221 modulated by estrogens or androgens similarly.

222 **3.3 Effect of sex hormones on adaptive immune cells.** Adaptive immune responses are
223 mediated by B cells and T cells. While, some of the common lymphoid progenitors originated in
224 the bone marrow can be educated within bone marrow to become B cells, some progenitors go to
225 thymus and mature to become CD4 or CD8 T cells. T cells and B cells recognize self-antigens in
226 the corresponding primary lymphoid organs. While, strong recognition of self-antigens leads to
227 the death of immature lymphocytes by negative selection, weak recognition favors positive
228 selection of developing lymphocytes, indicating that the lymphocytes present in the peripheral
229 repertoires must have seen the self-antigens. Conversely, if the self-antigens are not expressed in
230 the generative lymphoid organs, then the developing lymphocytes can escape central tolerance.
231 This has been clearly demonstrated in the case of PLP 139-151 as the naïve repertoire of SJL mice
232 contain a significant proportion of PLP 139-151-reactive T cells (102). Mechanistically, this
233 phenomenon has been ascribed to the thymic expression of truncated form of PLP, called DM-20
234 isoform that contain a deletion in the coding region, representing the motif, PLP 139-151 (102-
235 104). Furthermore, in addition to repressive effects on lymphopoiesis, estrogens and testosterone
236 can directly modulate the expression of autoimmune regulator (AIRE) protein that has a pivotal

237 role in the thymic expression of self-antigens (105). While, estrogen suppresses AIRE via
238 epigenetic changes (106, 107), androgens promote AIRE's expression, an effect that can be
239 abolished by castration (106, 108). Whether enhanced expression of AIRE in the male thymus can
240 be directly related to their low susceptibility to autoimmune diseases needs further clarifications.

241 Additionally, sex hormones have been shown to modulate lymphocyte development (**Fig 1**).
242 AR can inhibit T cell development in the thymus, as castrated animals exhibit thymic enlargement
243 and increased numbers of lymphocytes that can be reversed by androgen-replacement therapy (83,
244 109, 110). E2 has been shown to decrease B cell lymphopoiesis, since pregnancy levels of
245 estrogens have been correlated with both a significant reduction in B cell numbers and activity of
246 B lymphocyte precursors in the bone marrow (111). Experimentally, formation of B cells was
247 reduced in the bone marrow of mice treated with E2, while castration or ovariectomy led to
248 increase in B lymphopoiesis ER-dependently (112, 113). In addition, E2 can dampen B cell
249 receptor (BCR) signals and favor the generation of marginal zone B cells and survival of
250 autoreactive B cells (114, 115). Similar suppressive effects were noted with androgen on B cell
251 development. Assessment of B cell progenitors in the bone marrow of castrated mice revealed a
252 dramatic increase in late pro-B cell levels, leading to increases in the numbers of peripheral B
253 cells, but to a lesser degree in pre-B and immature B cell populations (116, 117). Estrogens can
254 block T cell development and cause thymic atrophy in an ER α -dependent manner (118).

255 As to the peripheral repertoires, both human and macaque females appear to possess a higher
256 number of circulating CD4 T cells, including CD4/CD8 ratios, than males (89, 119). Likewise,
257 human peripheral blood CD4 T cells from females produce relatively higher levels of the T-helper
258 (Th) 1 cytokine, IFN- γ , than from males (120). As to MS, although autoantibodies contribute to
259 the disease pathogenesis, no sex-specific variations have been noted with antibodies in affected

260 individuals. However, the peripheral repertoires of female humans and non-human primates can
261 contain a relatively high proportion of activated B cells (84, 121), suggesting that lymphocyte
262 responses can be potentially dictated by the inherent production of hormones specific to each sex.

263 **3.3.1. Effects of sex hormones on the effector lymphocyte responses.** Sex hormones have
264 been shown to exert anti-inflammatory effects (**Fig 1**), and therapeutically, estrogens and DHT
265 and their derivatives have been used in various diseases (**Table 1**). Specifically, as to MS, reduced
266 brain lesions and relapse rates were noted with estrogen therapy accompanied with reduced
267 inflammatory cytokines (Th1 and TNF- α) (122, 123). Likewise, DHT treatment was associated
268 with decreased fatigue and increased gray matter volume with a corresponding decrease in CD4 T
269 cell infiltrates and IL-2 production, and increase in TGF- β 1 secretion (124, 125). Experimentally,
270 low doses of estrogens have been shown to stimulate Th1 responses, whereas high doses equivalent
271 to pregnancy levels can promote Th2 response in primary cultures (126, 127). Estrogens also can
272 stimulate the production of regulatory T cells (Tregs) by upregulating the expression of FoxP3
273 (128, 129), and other non-FoxP3-expressing Treg subsets such as Bregs, CD8⁺CD122⁺ Treg cells,
274 and CD11b⁺ CD206⁺ ARG-1⁺ M2 like macrophages, among others (130). EAE mice treated with
275 E2 or E3 show reduced disease severity through inhibition of Th1 and Th17 cytokine production
276 with a corresponding increase in Th2 cytokines (126, 131). Similarly, testosterone also ameliorates
277 EAE severity with a Th2 bias, as androgen-treated T cell lines, as opposed to untreated cultures,
278 secrete a lower amount of IFN- γ compared to IL-10 (132-134). Although, testosterone appears
279 not to promote differentiation of murine Treg cells, high testosterone and low estrogen conditions
280 may promote skewing of Th1/Th17 responses toward Treg cells (135). Recent reports suggest that
281 males possess high frequencies of innate lymphoid cells (ILC) 2, and IL-33 produced from mast
282 cells facilitate induction of non-pathogenic, Th2 rather than encephalitogenic, Th17 cytokines in

283 the females (136). But determination of antigen-specificity of these Th subsets has remained a
284 major challenge in the field.

285 In our research, we made efforts to understand the cellular basis for sex bias in the occurrence
286 of EAE in SJL mice by testing the hypothesis that the EAE-phenotypic differences between sexes
287 are due to defects in antigen-specific, CD4 T cell responses. To this end, we created MHC class II
288 (IA^s) tetramers and dextramers for PLP 139-151 that can detect antigen-specific T cells with a high
289 degree of specificity and sensitivity (137). By enumerating the precursor frequencies of PLP-
290 specific CD4 T cells flow cytometrically, we noted that the lymph node cells derived from male
291 and female SJL mice responded equally to PLP 139-151, suggesting no defect in their ability to
292 respond to self-antigens. We have also verified this phenomenon for an environmental microbe-
293 derived epitope that cross-reacts with PLP 139-151 (138). Furthermore, dextramer staining
294 analysis of CNS infiltrates also did not reveal any significant variations between sexes with PLP-
295 specific T cells as evaluated by flow cytometry (**Fig 2, top panel**). Next, we established a novel
296 *in situ* dextramer staining method to localize PLP-specific CD4 T cells in the brains of EAE mice
297 by laser scanning confocal microscopy (LSCM) (139). By evaluating brains obtained from male
298 and female mice affected with EAE, we found the PLP dextramer⁺ cells to be scattered all through
299 the tissues with equal proportions in both male and female mice, ruling out defects in the migration
300 of antigen-specific T cells into the CNS (**Fig 2, bottom panel**). Finally, T cells harvested from
301 the brains of EAE mice and the T cell cultures stimulated with PLP 139-151 *in vitro* showed
302 comparable expression of most of the positive and negative regulators of T cell activation in both
303 male and female mice (unpublished observations). Based on these findings, we envision a scenario
304 in which equal numbers of PLP-reactive, pathogenic T cells infiltrate into the brains in both male

305 and female SJL mice, but their survivability may differ between sexes raising a question whether
306 differences exist in the biochemical pathways between DHT and estrogen.

307 **4. Biochemical mechanisms of sex hormones**

308 Sex hormones mediate their cellular functions through both the genomic/nuclear and
309 nongenomic/membrane signaling pathways, with the expected end result being transcriptional
310 regulation (140, 141) that may affect cell proliferation or cell death (142-144). For example, in
311 breast cancer cells, E2 stimulates cell growth by augmenting transition from G1 to S phase, leading
312 to activation of cyclin-dependent kinase and retinoblastoma protein phosphorylation (145, 146).
313 Whereas other groups have also demonstrated that E2 is capable of inducing apoptosis in breast
314 and prostate cancer cells, thymocytes, monocytes, macrophages, neuronal cells and T cells (147-
315 150). Similarly, androgens also can regulate apoptosis in breast and prostate cancer cells, human
316 renal tubular leukemic and primary cells, including monocytes and macrophages and T cells (151-
317 153). Recently, autophagy-associated cell death has been described that involves the upregulation
318 of autophagy flux, its machinery and the accumulation of autophagosomes (154). A relationship
319 has been shown recently between sex hormones, apoptosis and autophagy. For example, pregnancy
320 levels of E2 and progesterone exert stimulatory effects on autophagy in mammary epithelial cells
321 by suppressing mammalian target of rapamycin (mTOR) activation that occurs in association with
322 apoptotic cell death (155). Additionally, E2 may regulate transcription factors targeted by
323 autophagy, miRNAs and histone modifications (156). Likewise, E2 was shown to inhibit
324 osteoblast apoptosis by promoting autophagy via the mTOR pathway (157). But, less is known
325 about androgens, but they were shown to promote prostate cancer cell growth through the
326 induction of autophagy, in part through the production of reactive oxygen species (158). Because
327 both autophagy and apoptosis are well-controlled biological processes that play important roles in

328 tissue homeostasis and disease, dissecting the cross-talk between the two, if any in the context of
329 sex hormones may lead to identification of molecules that affect both processes (159, 160).

330 To address the above theme, we established an *in vitro* system to determine the mechanistic
331 basis for DHT-mediated effects in autoreactive T cells, since DHT has been successfully used to
332 treat EAE. Unexpectedly, we noted that DHT reduced the proliferative responses to PLP 139-151,
333 but the effects were not selective, since both proliferating and non-proliferating cells were equally
334 affected (161). Likewise, using MHC class II dextramers, we failed to note any immune deviation
335 toward Th2 phenotype in antigen-specific T cells; rather, cells capable of producing all major
336 inflammatory cytokines (Th1 and Th17), including Th2 cytokines, were reduced in DHT-treated
337 cells. We also showed that DHT-mediated effects involved the induction of cell death, which also
338 was associated with autophagy in autoreactive T cells (161). Although our data did not support the
339 notion that DHT-mediated effects accompany the appearance of IL-10-producing cells (132-134),
340 production of IL-10 by non-T cell sources *in vivo* or in mixed T cell cultures in response to DHT-
341 treatment cannot be discounted. Previous reports indicate that DHT can ameliorate EAE when
342 administered either during induction or in the effector phase of the disease process (132, 134). Our
343 observation that DHT induces cell death of both proliferating and non-proliferating T cells may
344 mean that the DHT-mediated effects might have occurred due to cell death. Importantly, we have
345 also demonstrated that cell death can occur in conjunction with autophagy in DHT-treated cells
346 (161), suggesting that common signaling cascades, or crosstalk may exist between the two
347 processes. Although dissecting this complexity is a challenge, using model systems that are
348 deficient for apoptosis and autophagy machineries, such as caspase-3- and ATG-deficient mice,
349 may be helpful. These studies may then provide avenues to identify molecules responsive to DHT
350 that can affect both apoptosis and autophagy processes.

351 **5. Perspectives and Significance**

352 As discussed above, autoimmune diseases are more prevalent in females than males and such a
353 discrepancy also exists in the animal models, as shown with PLP 139-151-induced EAE in SJL
354 mice (60, 138). Essentially, PLP-reactive T cells generated in males can induce EAE in males
355 comparable to the EAE-phenotype in females induced by cells generated in the female SJL mice
356 (138). Conversely, cells from males can induce only mild disease in females (138), suggesting
357 that the microenvironment of recipients may determine the EAE-outcomes. By investigating the
358 underlying mechanisms, we had previously noted that the EAE-resistant, male B10.S mice possess
359 higher frequencies of Treg cells specific to PLP 139-151 than SJL mice, and depletion of Treg
360 cells enabled B10.S mice to develop severe EAE (162, 163). While, these observations provide a
361 cellular basis for EAE-susceptibility and EAE-resistance phenotypes, male hormones appear to
362 play a critical role in the suppression of EAE. In support of this notion, a number of studies (124,
363 125, 132, 136, 164-166) indicate therapeutic benefits of testosterone by ameliorating the EAE-
364 severity or clinical remissions in MS patients that are accompanied with increased gray matter
365 volume, reduced Th1/Th17 inflammatory cytokines (IFN- γ , IL-2, and IL-17A), skewness of
366 Th1/Th17:Treg ratio towards Tregs, shift of immune response towards Th2 type (IL-10), increased
367 NK cell populations, and significant reductions in CNS infiltrations containing CD4 T cells (124,
368 125, 132, 135, 164, 165, 167). Based on our observations with DHT (161), we did not recognize
369 the phenomenon of immune deviation from pro- to anti-inflammatory cytokine switch; rather DHT
370 was found to suppress T cell responses regardless of their antigen-specificity that involve apoptosis
371 and/or autophagy as the possible underlying mechanisms (161). Additionally, we performed a few
372 pilot experiments and determined that estrogens mediate effects similar to DHT (data not shown).
373 Whether all sex hormones mediate their functions through common pathways such as apoptosis

374 and autophagy, is currently unknown. Proving this concept to be true may then widen the
375 applications of sex hormone-dependent molecules as drug targets for a range of diseases, including
376 metabolic syndromes, aging and osteoporosis. Such discoveries also may potentially reduce the
377 need to use small molecules like selective androgen receptor modulators. As a result, it may be
378 possible to minimize side effects observed with sex hormones.

379 **Figure legends:**

380 **Figure 1. Sexual dimorphism with the disease occurrence, and its underlying potential**
381 **immune mechanisms.** It is generally believed that males are more prone to infectious diseases
382 than females, but the latter group have a preponderance to develop autoimmune diseases. These
383 phenotypes are shown with elbow arrows (favorable), and arrows with inhibitory lines
384 (unfavorable). The hormonal environments in females (estrogens) and males (androgens) have
385 been shown to influence both innate and adaptive immune cell functions. Additionally, hormonal
386 actions on immune cells in the respective sexes can potentially be influenced by transcriptome
387 profiles in the sex chromosomes and epigenetic modifications. Nonetheless, genetic susceptibility
388 and exposure to environmental microbes, including alterations in the gut microbiota, if any are still
389 the key players to trigger AIDs, but their outcomes can be modulated by sex hormones.

390

391 **Figure 2. Enumeration of PLP 139-151-specific CD4 T cells in the CNS infiltrates from EAE**
392 **mice.** Male and female SJL mice were immunized with PLP 139-151, and brains and spinal cords
393 were harvested from EAE-mice that showed paralytic signs. Mononuclear cells isolated from these
394 tissues were stained with PLP 139-151 (specific) or control (Theiler's murine encephalomyelitis
395 virus [TMEV] 70-86) dextramers and the dextramer⁺ CD4⁺ cells were then analyzed.
396 Representative flow cytometric plots are shown (top panel). By establishing in situ dextramer
397 staining technique using LSCM, PLP 139-151-specific, CD4 T cells were analyzed in the brains
398 harvested from male and female mice (bottom panel). CD4 T cells, green; dextramers, red; merged
399 (circles, dext⁺ CD4⁺ T cells; insets represent enlarged views of dext⁺ CD4⁺ T cells). Original
400 magnification 1000x; bar = 20 μm. Mean ± SEM values are shown (n=3).

401 Abbreviations

402	AIDs	autoimmune diseases
403	AIRE	autoimmune regulator
404	AR	androgen receptors
405	BCR	B cell receptor
406	Bregs	B regulatory cells
407	CFA	complete freund's adjuvant
408	CNS	central nervous system
409	DCs	dendritic cells
410	DHT	dihydrotestosterone
411	E1	estrogen
412	E2	estradiol
413	E3	estriol
414	EAE	experimental autoimmune encephalomyelitis
415	ER α	estrogen receptor alpha
416	ER β	estrogen receptor beta
417	FoxP3	Forkhead box P3
418	IFN	Interferon
419	IL	Interleukin
420	ILCs	Innate lymphoid cells
421	LC	Langerhans's cells
422	LCSM	laser scanning confocal microscopy
423	LPS	lipopolysaccharide
424	M.tb	<i>Mycobacterium tuberculosis</i>
425	MBP	myelin basic protein
426	MHC	Major Histocompatibility complex
427	MOG	myelin oligodendrocyte glycoprotein
428	MS	Multiple sclerosis
429	mTOR	mammalian target of rapamycin
430	NK	natural killer
431	NMOSD	neuromyelitis optica spectrum disorder
432	NOD	non-obese diabetic
433	PLP	proteolipid protein
434	PRMS	progressive-relapsing multiple sclerosis
435	RA	Rheumatoid arthritis
436	RRMS	relapsing-remitting multiple sclerosis
437	SLE	Systemic lupus erythematosus
438	Th	T helper
439	T1D	type I diabetes
440	TLR	Toll like receptor
441	TMEV	Theiler's murine encephalomyelitis virus
442	TNF	Tumor necrosis factor
443	Treg	T regulatory cells

444 **Declarations**

445 **Ethics approval and consent to participate**

446 Not applicable

447 **Consent for publication**

448 Not applicable

449 **Availability of data and material**

450 Not applicable

451 **Competing interests**

452 The authors declare that they have no competing interests.

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Table 1: Therapeutic effects of estrogen and DHT and their derivatives in various autoimmune disease conditions

Disease	Estrogen/it's derivatives		DHT/its derivatives	
	Humans	Animal models	Humans	Animal models
Multiple sclerosis	Reduced Th1 response and TNF- α levels with a shift towards Th2 (IL-5, and IL-10) and reduction in lesions in the brain and relapse rate (122, 123, 168)	Enhanced B-reg and T-regs, higher serum IgG1 levels, reduced Th1, Th17 response with a shift towards Th2, as evidenced by increased IL-5 (males) and IL-10 levels, with decreased IFN- γ , TNF- α , IL-2, IL-6, IL-17, and IL-23 levels (130, 131, 169, 170)	Reduced DTH response, increased NK cells, increased TGF- β 1 and decreased IL-2 levels, decreased fatigue, increased gray matter volume and decreased CD4 ⁺ T cell infiltrates (124, 125, 171)	Significant decrease in EAE severity, with skewness of Th1/Th17:T-reg ratio towards T-reg, and a shift towards Th2 response (increased IL-10) and decreased IFN- γ level (132, 165-167, 171)
Rheumatoid arthritis	Patients with high serum E2 showed reductions in VPS, AI (172)	Significant reduction in alkaline phosphatase, TNF- α , IL-1 β , IL-6 and anti-type-II collagen autoantibody levels, and reduced disease severity (173-175)	Improved clinical signs with increased serum testosterone levels and CD8 ⁺ T cells, with decreased CD4 ⁺ : CD8 ⁺ ratio, reduction in tender joints (176, 177)	Decreased autoantibody generation and joint inflammation, reduction in TNF- α and PGE-2 with reduced inflammatory infiltrates (173, 178, 179)
Systemic lupus erythematosus	No significant benefits were noted	No significant benefits were noted	Reduced disease severity, restoration of normal serum testosterone levels with reduced hematologic and serologic abnormalities (180-182)	Reduced disease severity with increased survival rate with no autoantibody formation (183)
Sjögrens syndrome	No significant benefits were noted	No significant benefits were noted, but has been shown to offer some level of protection against Sjögrens syndrome-like disease	Reduced ESR rates, increased testosterone levels offering disease protection, reduced dry-eyes and dry-mouth symptoms (184, 185)	Reduced lymphocyte infiltrations and reversal of autoimmune sequeale in lacrimal gland (186-188)

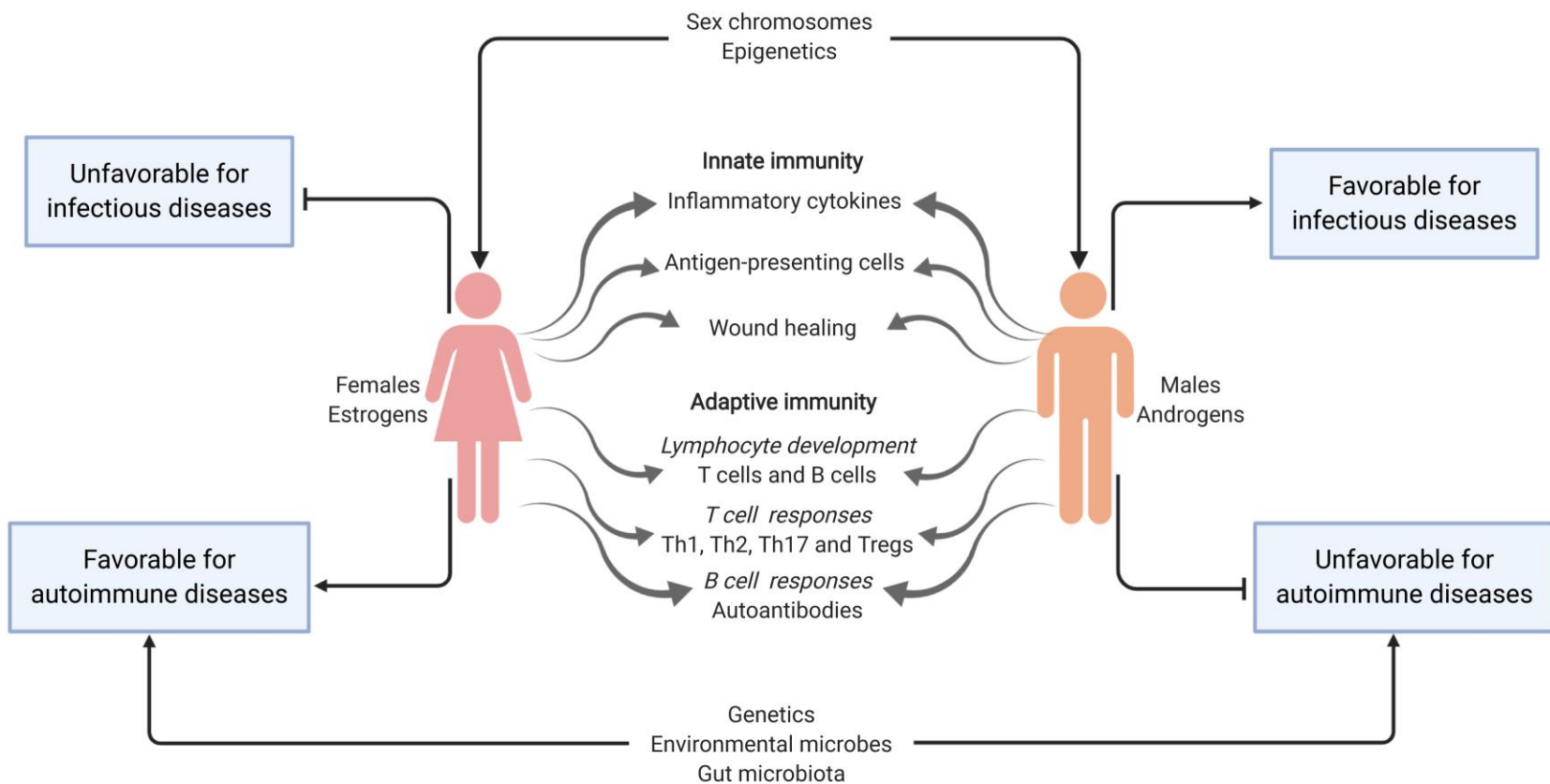
Hashimoto's thyroiditis	Not tested	Not tested	Inverse correlation between testosterone and thyroid autoimmunity, improved thyroid secretory function (189)	Reduced disease incidence and pathology, and drastic reduction in thyroglobulin autoantibodies (190)
Crohn's disease	Not tested	Not tested	Improved CDAI with reduced serum CRP, increased hemoglobin level, and reduced inflammation (191, 192)	Not tested
Psoriasis	Not tested	Not tested	Normal serum testosterone levels, improved disease score, reduced CRP and improved obesity(193)	Not tested
Type-I diabetes	Not tested	Not tested	Improved glycemic control with reduced fasting glucose and HbA _{1c} (194)	Not tested
Graves' disease	Not tested	Not tested	Not tested	Amelioration of disease severity with a shift from Th1 to Th2 response, reduction in IL-2, IFN- γ and increase in IL-4, IL-10, TGF- β , IL-35, and attenuation of thyroid oxidative injuries (195, 196)

Autoimmune cholangitis	Not tested	Not tested	Not tested	Decreased pathology with lesser CD4 ⁺ liver-infiltrating T cells, reduced expression of CXCL-9, CXCL-10, and IL-17 with increased serum testosterone concentration (197)
Autoimmune orchitis	Not tested	Not tested	Not tested	Reduced disease severity, reduction in CD4 ⁺ T cells and accumulation of macrophages in testis, with significant increase in T-regs. Substantial decrease in MCP-1, TNF- α , IL-6, IL-2, and IFN- γ (198)

978 VPS, visual analogue pain scale; AI, articular index; DTH; delayed type hypersensitivity; PGE-2, prostaglandin-E₂; ESR, erythrocyte sedimentation
979 rate; CDAI, crohn's disease activity index; CRP, c-reactive protein; HbA_{1c}, Hemoglobin A1c

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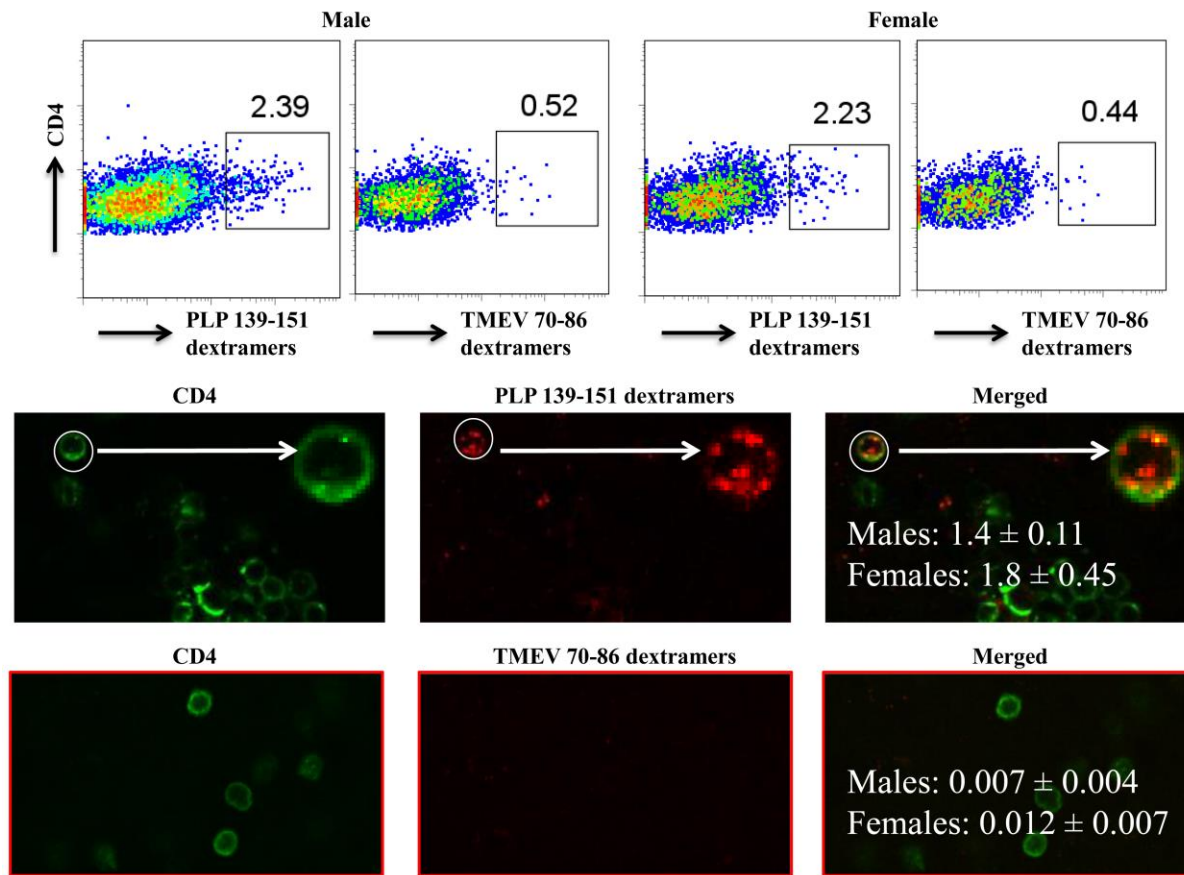
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984 **Figure 1. Sexual dimorphism with the disease occurrence, and its underlying potential immune mechanisms.** It is generally
985 believed that males are more prone to infectious diseases than females, but the latter group have a preponderance to develop autoimmune
986 diseases. These phenotypes are shown with elbow arrows (favorable), and arrows with inhibitory lines (unfavorable). The hormonal
987 environments in females (estrogens) and males (androgens) have been shown to influence both innate and adaptive immune cell
988 functions. Additionally, hormonal actions on immune cells in the respective sexes can potentially be influenced by transcriptome
989 profiles in the sex chromosomes and epigenetic modifications. Nonetheless, genetic susceptibility and exposure to environmental
990 microbes, including alterations in the gut microbiota, if any are still the key players to trigger AIDs, but their outcomes can be modulated
991 by sex hormones.

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994 **Figure 2. Enumeration of PLP 139-151-specific CD4 T cells in the CNS infiltrates from EAE mice.** Male and female SJL mice
 995 were immunized with PLP 139-151, and brains and spinal cords were harvested from EAE-mice that showed paralytic signs.
 996 Mononuclear cells isolated from these tissues were stained with PLP 139-151 (specific) or control (Theiler's murine encephalomyelitis
 997 virus [TMEV] 70-86) dextramers and the dextramer⁺ CD4⁺ cells were then analyzed. Representative flow cytometric plots are shown
 998 (top panel). By establishing in situ dextramer staining technique using LSCM, PLP 139-151-specific, CD4 T cells were analyzed in the
 999 brains harvested from male and female mice (bottom panel). CD4 T cells, green; dextramers, red; merged (circles, dext⁺ CD4⁺ T cells;
 1000 insets represent enlarged views of dext⁺ CD4⁺ T cells). Original magnification 1000x; bar = 20 μm. Mean ± SEM values are shown
 1001 (n=3).

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